

SLFRF Compliance Report - SLT-4693-P&E Report-Q3 2022

Report Period : Quarter 3 2022 (July-September)

Recipient Profile

Recipient Information

Recipient UEI	CP1TMDTAJ467
Recipient TIN	146002575
Recipient Legal Entity Name	Ulster County, New York
Recipient Type	Metro City or County
FAIN	
CFDA No./Assistance Listing	
Recipient Address	244 Fair Street
Recipient Address 2	
Recipient Address 3	
Recipient City	Kingston
Recipient State/Territory	NY
Recipient Zip5	12401
Recipient Zip+4	
Recipient Reporting Tier	Tier 2. Metropolitan cities and counties with a population below 250,000 residents which received more than \$10 million in SLFRF funding
Base Year Fiscal Year End Date	12/31/2019
Discrepancies Explanation	
Is the Recipient Registered in SAM.Gov?	Yes

Project Overview

Project Name: Constituent Service Navigator (CSN)

Project Identification Number	GS6.1_02
Project Expenditure Category	6-Revenue Replacement
Project Expenditure Subcategory	6.1-Provision of Government Services
Status To Completion	Completed less than 50%
Program Income Earned	\$0.00
Program Income Expended	\$0.00
Total Cumulative Obligations	\$405,792.00
Total Cumulative Expenditures	\$241,956.23
Current Period Obligations	\$116,913.66
Current Period Expenditures	\$116,913.66
Project Description	This service has evolved from the County's COVID-19 hotline, previously called the Recovery Services Center. The Constituent Services Navigator program will fund full time staff for the County's emergency call center for FY 2022. The goal of the CSN program is to be a front door for County government services and a coordination center to respond to constituent's most critical needs.
Brief description of structure and objectives of assistance program(s), including public health or negative economic impact experienced	This service has evolved from the County's COVID-19 hotline, previously called the Recovery Services Center. The Constituent Services Navigator program will fund full time staff for the County's emergency call center for FY 2022. The goal of the CSN program is to be a front door for County government services and a coordination center to respond to constituent's most critical needs.
Brief description of recipient's approach to ensuring that response is reasonable and proportional to a public health or negative economic impact of Covid-19	This emergency call center was a critical emergency need that was created during the COVID-19 pandemic. Based on the 29,000 County service requests taken during the COVID-19 pandemic and operations of the temporary call center the County sees the need to make this call center permanent for County FY 2022 so residents can inform and access County services easily.

Project Name: 368 Broadway Crisis Stabilization Center

Project Identification Number	PH 1.12.1
Project Expenditure Category	1-Public Health
Project Expenditure Subcategory	1.12-Mental Health Services
Status To Completion	Completed less than 50%
Total Cumulative Obligations	\$2,000,000.00
Total Cumulative Expenditures	\$200,000.00
Current Period Obligations	\$0.00
Current Period Expenditures	\$0.00

Project Description	The \$2,000,000 is for the acquisition of property on 368 Broadway, Kingston NY for the purposes of creating a Crisis Stabilization Center for constituents.
What Impacted and/or Disproportionally Impacted population does this project primarily serve?	1 Imp General Public
Brief description of structure and objectives of assistance program(s), including public health or negative economic impact experienced	A crisis stabilization center would allow individuals in need of mental health and addiction services to connect immediately with an integrated team of clinical counselors, peer specialists, and addiction professionals who can provide support and treatment options. Mental health and substance use services are public health concerns and have been designated as a priority for the County of Ulster. The creation of this center addresses the growing need for mental health and substance use services.
Brief description of recipient's approach to ensuring that response is reasonable and proportional to a public health or negative economic impact of Covid-19	The Final Rule states that behavioral health services can be provided to members of the general public. Such services can include, "both acute and chronic care, such as prevention, outpatient treatment, inpatient treatment, crisis care, diversion programs..." (pg 68, Final Rule). The creation of the crisis stabilization center in Kingston would provide crisis care, prevention, and treatment and is therefore a reasonable and proportional response.

Project Name: Elizabeth Manor Supportive Housing

Project Identification Number	NEI 2.18.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.18-Housing Support: Other Housing Assistance
Status To Completion	Completed
Total Cumulative Obligations	\$700,000.00
Total Cumulative Expenditures	\$699,997.12
Current Period Obligations	\$629,997.12
Current Period Expenditures	\$629,997.12
Project Description	These funds are for the acquisition of the 21 Elizabeth St property for the purposes of continuing and improving the property's operation as a facility to provide supportive housing
What Impacted and/or Disproportionally Impacted population does this project primarily serve?	1 Imp General Public
Brief description of structure and objectives of assistance program(s), including public health or negative economic impact experienced	The Ulster County Housing Action plan calls for increasing the supply of supporting and transitional housing to meet a critical housing need and break the cycle of homelessness and poverty by providing these units with onsite services. The County of Ulster has identified an opportunity to utilize an existing boarding house located at 21 Elizabeth Street in the City of Kingston to meet these goals.
Brief description of recipient's approach to ensuring that response is reasonable and proportional to a public health or negative economic impact of Covid-19	Under Enumerated Eligible Uses for Impacted Households in the Final Rule, "Treasury has determined that supportive housing or other programs or services to improve access to stable, affordable housing among individuals who are homeless, and the development of affordable housing to increase supply of affordable and high-quality living units

	are responsive to the needs of impacted populations" (pg. 103, Final Rule). Therefore, the creation of supportive housing is reasonable and proportional.
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Project Name: SLFRF Administration

Project Identification Number	GS6.1_01
Project Expenditure Category	6-Revenue Replacement
Project Expenditure Subcategory	6.1-Provision of Government Services
Status To Completion	Completed less than 50%
Total Cumulative Obligations	\$270,277.00
Total Cumulative Expenditures	\$253,384.13
Current Period Obligations	\$85,713.10
Current Period Expenditures	\$85,713.10
Project Description	In order to administer ARPA funds, Ulster County hired staff. This expenditure includes costs for regular pay, retirement, social security, and health insurance for three full-time administration employees.

Project Name: Front Line Pay for CSEA, UCSEA, UCSA - COVID19 Response

Project Identification Number	CR4.1_01
Project Expenditure Category	4-Premium Pay
Project Expenditure Subcategory	4.1-Public Sector Employees
Status To Completion	Completed
Total Cumulative Obligations	\$1,413,982.76
Total Cumulative Expenditures	\$1,413,982.76
Current Period Obligations	\$0.00
Current Period Expenditures	\$0.00
Project Description	Ulster County agreed to provide one-time payments for all eligible County Civil Service Employees Association members, Ulster County Sheriff's Employees Association members, and Ulster County Staff Association members.
Sectors Designated as Essential Critical Infrastructure Sectors	All departments were designated as essential.
Number of workers to be served	382
	This premium pay was designated to workers who are essential to "maintain critical infrastructure and continued critical services and functions" (CDC). Workers from each union needed to meet certain criteria to be eligible for a one-time premium payment under agreements between Ulster County and the union(s). Each Union agreement had specific criteria that designated eligibility for premium pay. The essential breakdown of each agreement dictated the following: 1. Employees who worked in person for more than 50% of their hours (420 hours or 480 hours) from the period of March 15, 2021, through June 6, 2021 (35-hour employees require more than 210 hours, 40-hour employees require

Premium Pay Narrative	more than 240 hours). 2. The above threshold is obtained by deducting all COVID paid hours, all paid leave time and remote hours to determine actual hours worked in person. Exception: Employees designated as field workers, who continued to make home visits and/or worked in the public, etc. during this time frame, but were listed as working remote, shall be considered for this settlement. These workers shall be considered working a full shift (7 or 8 hours) if performing any work in public on a specific day and for each day doing so. Occasionally, going to their regular office for short periods of time shall not constitute working in the public as it pertains to this provision if they were listed as working remotely.
Number of workers to be served with premium pay in K-12 schools	0

Project Name: POD Payroll, COVID-19 Response

Project Identification Number	CR3.1_01
Project Expenditure Category	3-Public Health-Negative Economic Impact: Public Sector Capacity
Project Expenditure Subcategory	3.1-Public Sector Workforce: Payroll and Benefits for Public Health, Public Safety, or Human Services Workers
Status To Completion	Completed
Total Cumulative Obligations	\$324,995.46
Total Cumulative Expenditures	\$324,995.46
Current Period Obligations	\$0.00
Current Period Expenditures	\$0.00
Project Description	These funds were used in order to provide staffing to Ulster County's vaccine Point of Distribution (POD) sites. Ulster County established multiple POD sites that have shifted over the course of the pandemic. The primary Ulster County POD has been located in the Hudson Valley Mall in Kingston, in what used to be a Best Buy. These employees have helped the County in vaccinating hundreds of thousands of Ulster County constituents.
What Impacted and/or Disproportionally Impacted population does this project primarily serve?	1 Imp General Public
Brief description of structure and objectives of assistance program(s), including public health or negative economic impact experienced	In response to the COVID-19 pandemic, Ulster County created a POD (point of distribution) network in order to provide accessible vaccinations to the constituents of Ulster County. In order to serve the public on such a large scale, Ulster County had to staff these PODs.
Brief description of recipient's approach to ensuring that response is reasonable and proportional to a public health or negative economic impact of Covid-19	According to the Uniform Guidance, reasonable cost is one that does not exceed "that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made..." There are many considerations for determining the reasonableness of a cost. Staffing the PODs, as a necessity for the operation of the POD, and paying within the ordinary rate for part time work, ensures that this response was reasonable and proportional to the program.

Number of government FTEs responding to COVID-19 supported under this authority

208

Subrecipients

Subrecipient Name: Guerin Law Firm

TIN	371462541
Unique Entity Identifier	
POC Email Address	
Address Line 1	858 NY-212
Address Line 2	
Address Line 3	
City	Saugerties
State	NY
Zip	12477
Zip+4	
Entity Type	Subrecipient
Is the Recipient Registered in SAM.Gov?	N/A

Subrecipient Name: Lau and Associates PC

TIN	061718203
Unique Entity Identifier	
POC Email Address	
Address Line 1	133 47 Sanford Avenue
Address Line 2	Suite C1E
Address Line 3	
City	Flushing
State	NY
Zip	11355
Zip+4	
Entity Type	Subrecipient
Is the Recipient Registered in SAM.Gov?	N/A

Subrecipient Name: Cook, Kurtz, Murphy, PC

TIN	141555010
Unique Entity Identifier	
POC Email Address	
Address Line 1	85 Main St
Address Line 2	
Address Line 3	
City	Kingston

State	NY
Zip	12401
Zip+4	
Entity Type	Subrecipient
Is the Recipient Registered in SAM.Gov?	N/A

Subawards

Subaward No: 001

Subaward Type	Transfer: Lump Sum Payment(s)
Subaward Obligation	\$700,000.00
Subaward Date	6/9/2022
Place of Performance Address 1	858 NY-212
Place of Performance Address 2	
Place of Performance Address 3	
Place of Performance City	Saugerties
Place of Performance State	NY
Place of Performance Zip	12477
Place of Performance Zip+4	
Description	For payment to attorney to acquire 21 Elizabeth St property for use as supportive housing
Subrecipient	Guerin Law Firm
Period of Performance Start	6/9/2022
Period of Performance End	6/9/2022

Subaward No: 003

Subaward Type	Transfer: Lump Sum Payment(s)
Subaward Obligation	\$349,380.00
Subaward Date	6/9/2022
Place of Performance Address 1	85 Main St
Place of Performance Address 2	
Place of Performance Address 3	
Place of Performance City	Kingston
Place of Performance State	NY
Place of Performance Zip	12401
Place of Performance Zip+4	
Description	Payment to attorney for acquisition of property at 368 Broadway
Subrecipient	Cook, Kurtz, Murphy, PC
Period of Performance Start	6/9/2022
Period of Performance End	6/9/2022

Subaward No: 002

Subaward Type	Transfer: Lump Sum Payment(s)
Subaward Obligation	\$1,650,620.00

Subaward Date	6/9/2022
Place of Performance Address 1	133 47 Sanford Avenue
Place of Performance Address 2	Suite C1E
Place of Performance Address 3	
Place of Performance City	Flushing
Place of Performance State	NY
Place of Performance Zip	11355
Place of Performance Zip+4	
Description	Payment to attorney for acquisition of property at 368 Broadway
Subrecipient	Lau and Associates PC
Period of Performance Start	6/9/2022
Period of Performance End	6/9/2022

Expenditures

Expenditures for Awards more than \$50,000

Expenditure: EN-00558852

Project Name	Elizabeth Manor Supportive Housing
Subaward ID	SUB-0320622
Subaward No	001
Subaward Amount	\$700,000.00
Subaward Type	Transfer: Lump Sum Payment(s)
Subrecipient Name	Guerin Law Firm
Expenditure Start	7/29/2022
Expenditure End	7/29/2022
Expenditure Amount	\$629,997.12

Expenditure: EN-00413031

Project Name	Elizabeth Manor Supportive Housing
Subaward ID	SUB-0320622
Subaward No	001
Subaward Amount	\$700,000.00
Subaward Type	Transfer: Lump Sum Payment(s)
Subrecipient Name	Guerin Law Firm
Expenditure Start	6/9/2022
Expenditure End	6/9/2022
Expenditure Amount	\$70,000.00

Expenditure: EN-00420744

Project Name	368 Broadway Crisis Stabilization Center
Subaward ID	SUB-0327913
Subaward No	002
Subaward Amount	\$1,650,620.00
Subaward Type	Transfer: Lump Sum Payment(s)
Subrecipient Name	Lau and Associates PC
Expenditure Start	6/9/2022
Expenditure End	6/9/2022
Expenditure Amount	\$161,180.00

Expenditure: EN-00420745

Project Name	368 Broadway Crisis Stabilization Center
Subaward ID	SUB-0327912
Subaward No	003
Subaward Amount	\$349,380.00
Subaward Type	Transfer: Lump Sum Payment(s)
Subrecipient Name	Cook, Kurtz, Murphy, PC
Expenditure Start	6/9/2022
Expenditure End	6/9/2022
Expenditure Amount	\$38,820.00

Payments To Individuals

Expenditure: EN-00047210

Project Name	POD Payroll, COVID-19 Response
Total Period Expenditure Amount	\$324,995.46
Total Period Obligation Amount	\$324,995.46

Expenditure: EN-00047213

Project Name	Front Line Pay for CSEA, UCSEA, UCSA - COVID19 Response
Total Period Expenditure Amount	\$1,413,982.76
Total Period Obligation Amount	\$1,413,982.76

Report

Revenue Replacement

Is your jurisdiction electing to use the standard allowance of up to \$10 million, not to exceed your total award allocation, for identifying revenue loss?	No
Base Year General Revenue	\$278,323,724.00
Growth Adjustment Used	5.20%
Base Year Fiscal Year End Date	12/31/2019
Total Estimated Revenue Loss	\$14,031,357.00
Are you reporting Actual General Revenue using calendar year or fiscal year?	Fiscal Year

2020

Actual General Revenue	\$278,765,201.00
Estimated Revenue Loss Due to Covid-19 Public Health Emergency	\$14,031,357.00
Were Fiscal Recovery Funds used to make a deposit into a pension fund?	No
Please provide an explanation of how revenue replacement funds were allocated to government services	<p>Ulster County Resolution Number 333 amended the 2021-2026 Ulster County Capital Improvement Program to add the following capital programs and identified funding need for the added programs as follows: Housing (\$4,500,000), Mental Health & Addiction Recovery (\$5,000,000), Non-profit & Community Programs (\$3,000,000), Food Security & Access (\$350,000), Small Business & Economic Recovery (\$6,000,000), Infrastructure and Trails (\$6,000,000), Green Home Retrofit and Workforce Development (\$3,000,000), and Emergency Response & Preparedness (\$2,400,000). Ulster County intends to utilize our revenue replacement funds as a source of funding for these capital programs. These capital programs are subject to final funding approval by the Ulster County Legislature and possible amendment by the Ulster County Legislature.</p>

2021

Actual General Revenue	\$317,260,989.00
Estimated Revenue Loss Due to Covid-19 Public Health Emergency	\$0.00
Were Fiscal Recovery Funds used to make a deposit into a pension fund?	No
	<p>According to the Final Rule page 237, "if actual revenue exceeds counterfactual revenue, the extent of the reduction</p>

Please provide an explanation of how revenue replacement funds were allocated to government services

in revenue is set to zero for that calculation date." With Ulster County's revenue exceeding counterfactual revenue we have an outcome of no revenue losses for 2021.

2022

Actual General Revenue	
Estimated Revenue Loss Due to Covid-19 Public Health Emergency	
Were Fiscal Recovery Funds used to make a deposit into a pension fund?	
Please provide an explanation of how revenue replacement funds were allocated to government services	

Overview

Total Obligations	
Total Expenditures	
Total Adopted Budget	
Total Number of Projects	
Total Number of Subawards	
Total Number of Expenditures	

Certification

Authorized Representative Name	BURTON GULNICK JR
Authorized Representative Telephone	
Authorized Representative Title	Commissioner of Finance
Authorized Representative Email	bgul@co.ulster.ny.us
Submission Date	10/20/2022 2:48 PM