

SLFRF Compliance Report - SLT-4693-P&E Report-Q1 2022

Report Period : Quarter 1 2022 (January-March)

Recipient Profile

Recipient Information

Recipient UEI	CP1TMDTAJ467
Recipient TIN	146002575
Recipient Legal Entity Name	Ulster County, New York
Recipient Type	
FAIN	
CFDA No./Assistance Listing	
Recipient Address	244 Fair Street
Recipient Address 2	
Recipient Address 3	
Recipient City	Kingston
Recipient State/Territory	NY
Recipient Zip5	12401
Recipient Zip+4	
Recipient Reporting Tier	Tier 2. Metropolitan cities and counties with a population below 250,000 residents which received more than \$10 million in SLFRF funding
Discrepancies Explanation	
Is the Recipient Registered in SAM.Gov?	Yes

Project Overview

Project Name: POD Payroll, COVID-19 Response

Project Identification Number	CR3.1_01
Project Expenditure Category	3-Public Health-Negative Economic Impact: Public Sector Capacity
Project Expenditure Subcategory	3.1-Public Sector Workforce: Payroll and Benefits for Public Health, Public Safety, or Human Services Workers
Status To Completion	Completed
Total Cumulative Obligations	\$324,995.46
Total Cumulative Expenditures	\$324,995.46
Current Period Obligations	\$0.00
Current Period Expenditures	\$0.00
Project Description	These funds were used in order to provide staffing to Ulster County's vaccine Point of Distribution (POD) sites. Ulster County established multiple POD sites that have shifted over the course of the pandemic. The primary Ulster County POD has been located in the Hudson Valley Mall in Kingston, in what used to be a Best Buy. These employees have helped the County in vaccinating hundreds of thousands of Ulster County constituents.
What Impacted and/or Disproportionally Impacted population does this project primarily serve?	1 Imp General Public
Brief description of structure and objectives of assistance program(s), including public health or negative economic impact experienced	In response to the COVID-19 pandemic, Ulster County created a POD (point of distribution) network in order to provide accessible vaccinations to the constituents of Ulster County. In order to serve the public on such a large scale, Ulster County had to staff these PODs.
Brief description of recipient's approach to ensuring that response is reasonable and proportional to a public health or negative economic impact of Covid-19	According to the Uniform Guidance, reasonable cost is one that does not exceed "that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made..." There are many considerations for determining the reasonableness of a cost. Staffing the PODs, as a necessity for the operation of the POD, and paying within the ordinary rate for part time work, ensures that this response was reasonable and proportional to the program.
Number of government FTEs responding to COVID-19 supported under this authority	208

Project Name: Front Line Pay for CSEA, UCSEA, UCSA - COVID19 Response

Project Identification Number	CR4.1_01
Project Expenditure Category	4-Premium Pay
Project Expenditure Subcategory	4.1-Public Sector Employees
Status To Completion	Completed
Total Cumulative Obligations	\$1,413,982.76

Total Cumulative Expenditures	\$1,413,982.76
Current Period Obligations	\$0.00
Current Period Expenditures	\$0.00
Project Description	Ulster County agreed to provide one-time payments for all eligible County Civil Service Employees Association members, Ulster County Sheriff's Employees Association members, and Ulster County Staff Association members.
Sectors Designated as Essential Critical Infrastructure Sectors	All departments were designated as essential.
Number of workers to be served	382
Premium Pay Narrative	<p>This premium pay was designated to workers who are essential to "maintain critical infrastructure and continued critical services and functions" (CDC). Workers from each union needed to meet certain criteria to be eligible for a one-time premium payment under agreements between Ulster County and the union(s).</p> <p>Each Union agreement had specific criteria that designated eligibility for premium pay. The essential breakdown of each agreement dictated the following:</p> <ol style="list-style-type: none"> 1. Employees who worked in person for more than 50% of their hours (420 hours or 480 hours) from the period of March 15, 2021, through June 6, 2021 (35-hour employees require more than 210 hours, 40-hour employees require more than 240 hours). 2. The above threshold is obtained by deducting all COVID paid hours, all paid leave time and remote hours to determine actual hours worked in person. Exception: Employees designated as field workers, who continued to make home visits and/or worked in the public, etc. during this time frame, but were listed as working remote, shall be considered for this settlement. These workers shall be considered working a full shift (7 or 8 hours) if performing any work in public on a specific day and for each day doing so. Occasionally, going to their regular office for short periods of time shall not constitute working in the public as it pertains to this provision if they were listed as working remotely.
Number of workers to be served with premium pay in K-12 schools	0

Project Name: SLFRF Administration

Project Identification Number	GS6.1_01
Project Expenditure Category	6-Revenue Replacement
Project Expenditure Subcategory	6.1-Provision of Government Services
Status To Completion	Completed less than 50%
Total Cumulative Obligations	\$270,277.00
Total Cumulative Expenditures	\$96,674.00
Current Period Obligations	\$65,882.34
Current Period Expenditures	\$65,882.34
	In order to administer ARPA funds, Ulster County hired staff. This expenditure includes costs for regular pay,

Project Description	retirement, social security, and health insurance for three full-time administration employees.
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Project Name: Constituent Service Navigator (CSN)

Project Identification Number	GS6.1_02
Project Expenditure Category	6-Revenue Replacement
Project Expenditure Subcategory	6.1-Provision of Government Services
Status To Completion	Completed less than 50%
Program Income Earned	\$0.00
Program Income Expended	\$0.00
Total Cumulative Obligations	\$405,792.00
Total Cumulative Expenditures	\$18,607.77
Current Period Obligations	\$18,607.77
Current Period Expenditures	\$18,607.77
Project Description	This service has evolved from the County's COVID-19 hotline, previously called the Recovery Services Center. The Constituent Services Navigator program will fund full time staff for the County's emergency call center for FY 2022. The goal of the CSN program is to be a front door for County government services and a coordination center to respond to constituent's most critical needs.
Brief description of structure and objectives of assistance program(s), including public health or negative economic impact experienced	This service has evolved from the County's COVID-19 hotline, previously called the Recovery Services Center. The Constituent Services Navigator program will fund full time staff for the County's emergency call center for FY 2022. The goal of the CSN program is to be a front door for County government services and a coordination center to respond to constituent's most critical needs.
Brief description of recipient's approach to ensuring that response is reasonable and proportional to a public health or negative economic impact of Covid-19	This emergency call center was a critical emergency need that was created during the COVID-19 pandemic. Based on the 29,000 County service requests taken during the COVID-19 pandemic and operations of the temporary call center the County sees the need to make this call center permanent for County FY 2022 so residents can inform and access County services easily.

Expenditures

Payments To Individuals

Expenditure: EN-00047210

Project Name	POD Payroll, COVID-19 Response
Total Period Expenditure Amount	\$324,995.46
Total Period Obligation Amount	\$324,995.46

Expenditure: EN-00047213

Project Name	Front Line Pay for CSEA, UCSEA, UCSA - COVID19 Response
Total Period Expenditure Amount	\$1,413,982.76
Total Period Obligation Amount	\$1,413,982.76

Report

Revenue Replacement

Is your jurisdiction electing to use the standard allowance of up to \$10 million, not to exceed your total award allocation, for identifying revenue loss?	No
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2020

Base Year General Revenue	\$278,323,724.00
Year End Date	12/31/2020
Growth Adjustment Used	5.200%
Actual General Revenue	\$278,765,201.00
Estimated Revenue Loss Due to Covid-19 Public Health Emergency	\$14,031,357.00
Were Fiscal Recovery Funds used to make a deposit into a pension fund?	No
Please provide an explanation of how revenue replacement funds were allocated to government services	Ulster County Resolution Number 333 amended the 2021-2026 Ulster County Capital Improvement Program to add the following capital programs and identified funding need for the added programs as follows: Housing (\$4,500,000), Mental Health & Addiction Recovery (\$5,000,000), Non-profit & Community Programs (\$3,000,000), Food Security & Access (\$350,000), Small Business & Economic Recovery (\$6,000,000), Infrastructure and Trails (\$6,000,000), Green Home Retrofit and Workforce Development (\$3,000,000), and Emergency Response & Preparedness (\$2,400,000). Ulster County intends to utilize our revenue replacement funds as a source of funding for these capital programs. These capital programs are subject to final funding approval by the Ulster County Legislature and possible amendment by the Ulster County Legislature.

2021

Base Year General Revenue	\$278,323,724.00
Year End Date	12/31/2021
Growth Adjustment Used	5.20%
Actual General Revenue	\$317,260,989.00
Estimated Revenue Loss Due to Covid-19 Public Health Emergency	\$0.00
Were Fiscal Recovery Funds used to make a deposit into a pension fund?	No

Please provide an explanation of how revenue replacement funds were allocated to government services

According to the Final Rule page 237, "if actual revenue exceeds counterfactual revenue, the extent of the reduction in revenue is set to zero for that calculation date." With Ulster County's revenue exceeding counterfactual revenue we have an outcome of no revenue losses for 2021.

Overview

Total Obligations	\$2,415,047.22
Total Expenditures	\$1,854,259.99
Total Number of Projects	4
Total Number of Subawards	2
Total Number of Expenditures	0

Certification

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Submission Date	4/29/2022 9:28 AM