

SLFRF Compliance Report - SLT-4693 - P&E Report - 2021

Report Period : March - December 2021

Recipient Profile

Recipient Information

| | |
|---|---|
| Recipient DUNS | 077209740 |
| Recipient DUNS (+4) | |
| Recipient TIN | 146002575 |
| Recipient Legal Entity Name | Ulster County, New York |
| Recipient Type | |
| FAIN | |
| CFDA No./Assistance Listing | |
| Recipient Address | 244 Fair Street |
| Recipient Address 2 | |
| Recipient Address 3 | |
| Recipient City | Kingston |
| Recipient State/Territory | NY |
| Recipient Zip5 | 12401 |
| Recipient Zip+4 | |
| Recipient Reporting Tier | Tier 2. Metropolitan cities and counties with a population below 250,000 residents which received more than \$10 million in SLFRF funding |
| Discrepancies Explanation | |
| Is the Recipient Registered in SAM.Gov? | Yes |

Project Overview

Project Name: COVID-19 Response, POD Payroll

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|---------------------------------|--|
| Project Identification Number | CR1.9_01 |
| Project Expenditure Category | 1-Public Health |
| Project Expenditure Subcategory | 1.9-Payroll Costs for Public Health, Safety, and Other Public Sector Staff Resp |
| Total Obligations | \$324,995.46 |
| Total Expenditures | \$324,995.46 |
| Project Description | These funds were used in order to provide staffing to Ulster County's vaccine Point of Distribution (POD) sites. Ulster County established multiple POD sites that have shifted over the course of the pandemic. The primary Ulster County POD has been located in the Hudson Valley Mall in Kingston, in what used to be a Best Buy. These employees have helped the County in vaccinating hundreds of thousands of Ulster County constituents. |

Project Name: COVID-19 Response, Premium Pay for CSEA, UCSEA, UCSA

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|---------------------------------|--|
| Project Identification Number | CR4.1_01 |
| Project Expenditure Category | 4-Premium Pay |
| Project Expenditure Subcategory | 4.1-Public Sector Employees |
| Total Obligations | \$1,413,982.76 |
| Total Expenditures | \$1,413,982.76 |
| Project Description | Ulster County agreed to provide one-time payments for all eligible County Civil Service Employees Association members, Ulster County Sheriff's Employees Association members, and Ulster County Staff Association members. |

Project Name: Fund Administration and Overhead

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|---------------------------------|--|
| Project Identification Number | FA07.1_01 |
| Project Expenditure Category | 7-Administrative and Other |
| Project Expenditure Subcategory | 7.1-Administrative Expenses |
| Total Obligations | \$1,725,000.00 |
| Total Expenditures | \$30,791.40 |
| Project Description | In order to administer ARPA funds, Ulster County hired staff. This expenditure includes costs for regular pay, retirement, social security, and health insurance for three full-time administration employees. |

Expenditures

Aggregate Disbursements less than \$50,000

Expenditure: EN-00047310

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|------------------------------------|----------------------------------|
| Project Name | Fund Administration and Overhead |
| Expenditure Category | |
| Subaward Type (Aggregates) | Aggregate of Direct Payments |
| Total Quarterly Expenditure Amount | \$30,791.40 |
| Total Quarterly Obligation Amount | \$1,725,000.00 |

Aggregate Disbursements to Individuals

Expenditure: EN-00047210

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|------------------------------------|--------------------------------|
| Project Name | COVID-19 Response, POD Payroll |
| Expenditure Category | |
| Total Quarterly Expenditure Amount | \$324,995.46 |
| Total Quarterly Obligation Amount | \$324,995.46 |

Expenditure: EN-00047213

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|------------------------------------|--|
| Project Name | COVID-19 Response, Premium Pay for CSEA, UCSEA, UCSA |
| Expenditure Category | |
| Total Quarterly Expenditure Amount | \$1,413,982.76 |
| Total Quarterly Obligation Amount | \$1,413,982.76 |

Report

Federal Financial Reporting

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|--|---|
| Base Year General Revenue | \$278,323,724.00 |
| Fiscal Year End Date | 12/31/2019 |
| Growth Adjustment Used | 5.200% |
| Actual General Revenue as of 12 months ended December 31, 2020 | \$278,765,201.00 |
| Estimated Revenue Loss Due to Covid-19 Public Health Emergency as of December 31, 2020 | \$14,031,357.00 |
| Were Fiscal Recovery Funds used to make a deposit into a pension fund? | No |
| Please provide an explanation of how revenue replacement funds were allocated to government services | <p>Ulster County Resolution Number 333 amended the 2021-2026 Ulster County Capital Improvement Program to add the following capital programs and identified funding need for the added programs as follows: Housing (\$4,500,000), Mental Health & Addiction Recovery (\$5,000,000), Non-profit & Community Programs (\$3,000,000), Food Security & Access (\$350,000), Small Business & Economic Recovery (\$6,000,000), Infrastructure and Trails (\$6,000,000), Green Home Retrofit and Workforce Development (\$3,000,000), and Emergency Response & Preparedness (\$2,400,000). Ulster County intends to utilize our revenue replacement funds as a source of funding for these capital programs. These capital programs are subject to final funding approval by the Ulster County Legislature and possible amendment by the Ulster County Legislature.</p> |

Questions on Equity and Evidence

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| 1. Do you have a learning agenda, evidence-building plan, or another strategic approach to using evidence and evaluation for the spending outlined in your Recovery Plan? | |
| 1. If Yes, Please provide a link | |
| 2. Do the performance metrics for the projects listed in the Recovery Plan include data disaggregated by race, ethnicity, gender, income, and other relevant factors? | |
| 3. Do you have a full Community Engagement Plan that accompanies the community engagement activities outlined in your Recovery Plan? | |
| 3. If Yes, Please provide a link | |
| 4. Do you have a public awareness campaign or other planned dissemination activities to make residents and businesses aware of the SLFRF supported projects from your Recovery Plan? | |
| 4. If Yes, Please describe in 1-2 sentences and provide a link. | |

5. What is the total number of performance indicators across all projects in your Recovery Plan (including mandatory performance indicators)?

Certification

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|-----------------|--|
| Name | Nate Litwin |
| Telephone | 8454438065 |
| Title | Director of Recovery and Resilience |
| Email | nlit@co.ulster.ny.us |
| Submission Date | 1/28/2022 10:48 AM |